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# Field observations of canopy flows over complex terrain

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- 6 Abstract The investigation of airflow over and within forests in complex terrain has
- <sup>7</sup> been, until recently, limited to a handful of modelling and laboratory studies. Here,
- 8 we present an observational dataset of airflow measurements inside and above a forest

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situated on a ridge on the Isle of Arran, Scotland. The spatial coverage of the obser-9 vations all the way across the ridge makes this a unique dataset. Two case studies of 10 across-ridge flow under near-neutral conditions are presented and compared with re-11 cent idealized two-dimensional modelling studies. Changes in the canopy profiles of 12 both mean wind and turbulent quantities across the ridge are broadly consistent with 13 these idealized studies. Flow separation over the lee slope is seen as a ubiquitous 14 feature of the flow. The three-dimensional nature of the terrain and the heteroge-15 neous forest canopy does however lead to significant variations in the flow separation 16 across the ridge, particularly over the less steep western slope. Furthermore, strong 17 directional shear with height in regions of flow separation has a significant impact on 18 the Reynolds stress terms and other turbulent statistics. Also observed is a decrease 19 in the variability of the wind speed over the summit and lee slope, which has not 20 been seen in previous studies. This dataset should provide a valuable resource for 21 validating models of canopy flow over real, complex terrain. 22

<sup>23</sup> Keywords Boundary layer, Complex terrain, Flow separation, Forest canopy, Hills

# 24 **1 Introduction**

In recent years there has been a growing interest in the interaction of airflow within and above forest canopies, particularly over complex terrain. This has been motivated by a number of factors. For example, the uptake of carbon dioxide by forests is an important and uncertain part of the carbon cycle. There has been a large worldwide investment in continuous measurements of the surface-atmosphere exchange of carbon dioxide (Baldocchi et al., 2001) but interpretation of these measurements requires

a thorough understanding of canopy flows over complex terrain (Finnigan, 2008; 31 Belcher et al., 2008; Ross, 2011). Wind damage in hilly terrain is a serious threat 32 to managed forests (Quine and Gardiner, 2007; Gardiner et al., 2013) and reduces the 33 yield of recoverable timber, increases the cost of harvesting, decreases the landscape 34 quality and harms established wildlife habitats (Gardiner et al., 2010; Hanewinkel 35 et al., 2013). There is, to date, little theoretical framework for describing and under-36 standing the turbulence structure within canopies on complex terrain, and yet this is 37 crucial for predicting wind damage to forests. Hills and mountains exert an impor-38 tant drag on the atmosphere and this requires the correct parametrization in global 39 weather and climate models (Webster et al., 2003) but the presence of a forest canopy 40 can modify this drag (Ross and Vosper, 2005). Lastly, the large worldwide investment 41 in wind energy has wind turbines sited in forested areas of mixed topography. It is 42 therefore essential that the yield of these turbines is quantitatively understood (Ayotte 43 et al., 2001). 44

Airflow through forest canopies has been extensively studied for the last six 45 decades, but the majority of these studies have been restricted to idealized condi-46 tions, i.e. homogeneous canopy, flat terrain, neutral to slightly unstable conditions 47 (see e.g. Kaimal and Finnigan, 1994; Finnigan, 2000). Most real forests are not ho-48 mogeneous and are rarely on completely flat sites and so there is a fundamental need 49 to increase our understanding of these heterogeneous canopy flows. While there is 50 a considerable body of literature on flows over rough hills (Kaimal and Finnigan, 51 1994; Belcher and Hunt, 1998), it is only relatively recently that much attention has 52 been paid to canopy covered hills. This, to a large part, follows from the theoretical 53

work of Finnigan and Belcher (2004). In addition increasing attention has been paid
to heterogeneous canopy cover over the last 10 years, but again this has been largely
focused on sharp forest edge transitions (e.g. Irvine et al., 1997; Morse et al., 2002;
Dupont and Brunet, 2008; Romniger and Nepf, 2011).

Over the last twenty years there have only been a handful of observational stud-58 ies of flow over forested complex terrain, the majority of which have been lim-59 ited to wind-tunnel experiments, including Ruck and Adams (1991) and Neff and 60 Meroney (1998). Both studies investigated flow over modelled ridges covered with 61 plant canopies of differing heights. The wind-tunnel study of Finnigan and Brunet 62 (1995) conducted on a ridge covered with a tall canopy provided more comprehen-63 sive measurements, showing that the inflection point at the top of the canopy profile 64 is heavily influenced by the presence of the hill. On the windward slope the inflection 65 point was observed to disappear while on the crest of the hill the strength of the in-66 flection point was substantially greater. More recently a series of flume investigations 67 (Poggi and Katul, 2007a,b) explored the role of the hill-induced pressure perturbation 68 and advection on the flow velocity. Field experiments that have measured the airflow 69 at complex forested sites (e.g. Bradley, 1980; Zeri et al., 2010) have tended to make 70 measurements at a single tower and hence do not quantify the spatial variations in 71 flow across the terrain. 72

In addition to these observations there are a number of theoretical and modelling studies, almost all of which make use of idealized terrain and a homogeneous, uniform canopy. Finnigan and Belcher (2004) extended the existing theory of Hunt et al. (1988) for flow over rough hills and developed an analytical model for flow over

canopy covered hills. This model restricts itself to a shallow hill with a dense canopy 77 (all the momentum is absorbed by drag on the foliage) but it has clearly defined 78 the important parameters of the problem and offers a theoretical framework with 79 which to understand the earlier wind-tunnel results. Brown et al. (2001) and Allen 80 and Brown (2002) conducted large-eddy simulations (LES) and mixing length sim-81 ulations of wind-tunnel observations using both a roughness length parametrization 82 and a canopy model. The canopy simulations modelled the observations with better 83 accuracy, showing reduced acceleration over the hill and an increase in the drag. Ross 84 and Vosper (2005) conducted a series of numerical simulations comparing the use 85 of an explicit canopy model with a roughness length parametrization. Results from 86 both roughness length and canopy simulations are compared to the observational data 87 of Finnigan and Brunet (1995), demonstrating the benefits of using a canopy model 88 over a roughness length parametrization. In the last few years three more notable LES 89 models have been developed. Dupont et al. (2008) analyze and validate results from a 90 nested LES using the wind-tunnel results of Finnigan and Brunet (1995); Ross (2008) 91 conducted LES of the flow over a series of small forested ridges; and Patton and Katul 92 (2009) used LES to explore the impact of vegetation density on the flow interactions 93 above and within vegetation on a series of gentle ridges. Other modelling studies have 94 looked at the impact of these canopy flows on tracer transport (Ross, 2011) and have 95 begun to explore the potential impact of non-homogeneous canopies over hills (Ross 96 and Baker, 2013). To date all of these theoretical and modelling studies have focused 97 on simple idealized terrain and, with the exception of Ross and Baker (2013), also 98 assume a uniform homogeneous canopy. 99

Thanks to the combined efforts of these studies we are now able to identify and explain the key features of canopy flows over complex terrain, at least for a uniform homogeneous canopy. However, there remain few studies over more complex and realistic terrain with heterogeneous canopy cover. As has been pointed out (e.g. Poggi and Katul, 2007a; Belcher et al., 2008), further progress has been restricted due to a lack of the field measurements necessary to validate model developments. This paper presents a unique observational dataset of airflow measurements from within and above a forest situated on a ridge and compares the results to recent idealized theoretical studies. It is the first dataset of its kind and should help to progress our understanding of this subject. Section 2 gives an overview of the field experiment and the data collected. Section 3 presents results from two particular case studies of flow across the ridge under near-neutral conditions, concentrating on the mean flow and the occurrence of flow separation. Section 4 provides details of profiles of various

turbulence statistics from the towers, while Sect. 5 discusses the results from this real, complex and heterogeneous field site in the context of previous idealized models of 114 neutral flow over two-dimensional ridges covered with a uniform canopy. Results are 115 also compared with previous observations within and above flat, homogeneous forest 116 canopies in order to highlight the impact of the complex terrain on flow turbulence 117 characteristics. Finally Sect. 6 draws some conclusions. 118

#### 2 Overview of the field measurements 119

The field measurements were made on a forested ridge, Leac Gharbh (55°40.2'N, 120 5°33.6'W), located on the north-east coast of the Isle of Arran, 22 km off the south-121

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west coast of the Scottish mainland. The island has previously been used for field 122 measurements of boundary-layer flow and flow separation over unforested hills (Vosper 123 et al., 2002). Typical hill heights at the northern end of Arran are between 400 m and 124 800 m with the island's highest hill, Goat Fell (874 m), lying 6 km to the south-125 west of the field site. Leac Gharbh itself varies in height from approximately 160 m 126 at the south-east to 260 m at the north-west and is 1.5 km in length (Fig. 1). The 127 north-eastern slope of Leac Gharbh is steeper than the south-western slope (average 128 values of H/L are 0.36 and 0.24 respectively where H is the ridge height and L is 129 the half width of the hill) but the terrain on both slopes is inconsistent and there are 130 areas that are both significantly shallower and significantly steeper than these val-131 ues. However, on average, both slopes are well above the typical values of 0.05 - 0.1132 required for flow separation in a canopy (Ross and Vosper, 2005; Poggi and Katul, 133 2007b). The summit of the ridge is approximately 250 m wide. The ridge is forested 134 primarily with a dense (1600 trees per hectare) Sitka spruce (Picea sitchensis Bong. 135 Carr.) plantation with an average tree height of h = 17.5 m. There are also patches 136 of western hemlock (Tsuga heterophylla) and silver birch (Betula pendula) mixed in 137 with the Sitka spruce, particularly on the north-east slope. To the southern end of the 138 ridge there are also hybrid larch (Larix x marschlinsii (Syn. L. x eurolepis)) of a simi-139 lar height to the Sitka spruce. Further north along the ridge and beyond the forest the 140 land cover is rough moorland. A detailed analysis of the forest canopy was conducted 141 by the Forestry Commission, with the survey splitting the site into  $23 \times 0.01$  ha plots 142 (Fig. 1), and for each plot the number, species and diameter at breast height (1.3 m 143 above ground) of each tree was recorded. The height of the tree with the greatest di-144

ameter was also recorded. As the aerial photograph in Fig. 1 shows the density of the
canopy varies significantly over the field site and there are several large clearings, the
largest of which is 5*h* across.

Measurements were made continually from 13 March to 14 May 2007. Three ver-148 tical profile towers (T1, T2, T3) were located across the ridge, and were supplemented 149 with a network of 12 automatic weather stations (AWS) giving measurements near the 150 surface (2 m above the ground). The AWS are labelled ARA through to ARQ and the 151 location of each site is shown in Fig. 1. Four three-dimensional sonic anemometers 152 sampling at 10Hz were mounted on each tower along with six thermistor temper-153 ature sensors and six cup anemometers at various heights between 2 m and 23 m. 154 The sonic anemometers were logged using a Moxa UC-7420 low power computer 155 at each tower running custom logging software. One-minute average values from the 156 cup anemometers and thermistors were logged with a Campbell CR1000 data logger 157 at each tower. Each AWS measured wind speed and wind direction at 2 m (with a 158 wind cup and vane), temperature (with a thermistor and with a Sensiron SHT1x digi-159 tal sensor) and pressure. The AWS logged data every 3 s using a custom made lower 160 power data logger. Table 1 in Appendix 1 provides a detailed overview of the instru-161 ments used. All instrumentation was deployed within an area of less than 2 km<sup>2</sup>. The 162 vertical profile towers were constructed in a transect over the ridge (henceforth, the 163 canopy transect), with Fig. 1 showing the location of each tower and AWS. The ma-164 jority of the AWS were erected in the same transect as the profile towers to provide as 165 much information as possible over this specific area. A second, smaller transect was 166 constructed well outside the forest ridge canopy using three AWS (henceforth the 167



**Fig. 1** Top: 1:25000 Ordnance Survey map of the field site with instrumentation sites marked. Red circles indicate the vertical profile towers (T1, T2, T3) and blue triangles indicate automatic weather stations (AWS). Inset is a map of Scotland highlighting the location of the Isle of Arran. The 1:25000 map is © Crown Copyright / database right 2010. An Ordnance Survey / EDINA supplied service. Outline map of Scotland is reproduced from Ordnance Survey map data by permission of Ordnance Survey, © Crown copyright 2013. Bottom: aerial photograph of the field site canopy showing the 23 canopy survey plots (white squares), the tower sites (red circles) and the AWS (yellow triangles). The white squares of the survey plots are to scale.



**Fig. 2** Photographs from the field site showing (a) Leac Gharbh, taken from the sea looking north-west. (b) Taken from AWS ARP, looking south-east, down onto T1. T1 is elevated slightly from its surroundings and is in a clearing that is approximately three canopy heights wide and five canopy heights long. (c) T1 looking north-west, showing the dense canopy to the north and east of the tower and the large clearing to the west. (d) The site at T2 looking north-east, showing the larch canopy. To the west the canopy is Sitka spruce. These two canopies are divided by a small pathway to the north-west which leads to AWS ARG. (e) T3 looking north-west, showing the dense spruce plantation upslope. (f) T3 looking east. This picture illustrates the steepness of the terrain downslope from T3. It also shows how some of the canopy (of mainly birch) directly downslope of the tower does not reach the same level as the bottom sonic anemometer, which is just visible to the right of the tower above the second cup anemometer. (g) Schematic cross-section profile (west to east) of Leac Gharbh with tower locations shown and canopy marked in green.

northern transect), and at each site a differential GPS survey was conducted to calcu late altitude accurately. Tables 2 and 3 in Appendix 1 summarize the main features of
 each instrument site.

For the results presented here the 3-s data from the AWS were averaged. The 171 mean wind speed is the 15-min average of the instantaneous wind speeds and the 172 mean wind direction was determined as the direction of the averaged instantaneous 173 wind vectors over the same period. The wind speeds presented here from the sonic 174 anemometers are 15-min averages of the instantaneous wind speeds (for direct com-175 parison with the cup anemometers). Wind directions are again the direction of the 176 mean wind vector. For calculating momentum fluxes each 15-min period of data was 177 rotated into streamwise coordinates using a double rotation (see e.g. Lee et al., 2004). 178 The presented fluxes are therefore in streamwise coordinates, with u being in the di-179 rection of the 15-min averaged mean wind. The flux data were quality controlled 180 using the stationarity test of Foken and Wichura (1996) with each 15-min period 181 subdivided into five, and a 30% threshold for the differences to be classified as non-182 stationary. At the more exposed sites this resulted in less than 1% of the data being 183 rejected, but at some of the more sheltered in-canopy sites up to 10% of the data was 184 rejected. Following data quality control, continuous operation for 44 days between 1 185 April and 14 May 2007 provided 4224 15-min mean measurements from the major-186 ity of the AWS and vertical profile towers. Quality controlled data between 13 March 187 and 31 March 2007 are also available but these data are incomplete. The following 188 analysis only uses data from 1 April until 14 May 2007, after bud burst on the trees. 189

This minimizes the impact of changing leaf cover on the canopy drag, and hence the flow patterns in the patches of deciduous trees (mainly birch and larch).

The field campaign was dominated by anticyclonic conditions with anticyclones located over Arran for 24 of the 44 days. These anticyclonic periods were associated with low wind speeds from the north to east and a well-defined diurnal cycle was established in the potential temperature time series. These periods were interspersed with two large cyclonic systems and a series of fronts. The cyclonic systems coincided with high wind speed south-westerlies and a breakdown of the diurnal cycle established during the anticyclonic periods.

In order to compare the field observations with theory developed from 2-D, neu-199 tral flow over forested ridges we concentrate on periods when the synoptic flow is 200 across the ridge. Cross-ridge flows were defined when the angle of the synoptic flow, 201  $\alpha,$  is  $50^\circ<\alpha<90^\circ$  (henceforth, north-easterlies) and  $240^\circ<\alpha<260^\circ$  (henceforth, 202 south-westerlies). The south-westerly cases based on wind direction at AWS ARP 203 amounted to 50 h of data. North-easterlies were determined when both AWS ARP 204 and the top sonic anemometer on T3 recorded wind directions between  $\alpha = 50^{\circ}$  and 205  $\alpha = 90^{\circ}$ . This amounted to 15 h of data. Data from both AWS ARP and tower T3 are 206 used to identify north-easterlies and so rule out any cases of south-westerly flow sep-207 aration. The 40° window for north-easterlies is used to allow a large enough sample. 208 To restrict the comparison to near-neutral conditions the data are also filter based 209 on h/L calculated at the top of tower T1 (the most exposed site), where L is the 210 Obukhov length given by 211

$$L = \frac{(-\overline{u'w'})^{3/2}\theta}{\kappa g \overline{w'T'}},\tag{1}$$

where  $\overline{u'w'}$  is the momentum flux,  $\overline{w'T'}$  is the kinematic heat flux,  $\theta$  is the absolute 212 potential air temperature (K),  $g = 9.81 \,\mathrm{m \, s^{-2}}$  is the acceleration due to gravity, and 213  $\kappa = 0.4$  is the von Karman constant. Following Dupont and Patton (2012), we restrict 214 the data to cases where  $-0.01 \le h/L < 0.02$  (near neutral) and  $0.02 \le z/L < 0.6$ 215 (transition to stable). In their comparison of data over a flat orchard site during the 216 CHATS experiment Dupont and Patton (2012) observed similar features of the flow 217 structure in these two regimes. Limiting to near-neutral cases only would result in a 218 rather small sample size. These regimes occurred mostly during windy and / or cloudy 219 periods with low radiative forcing, or around the evening / morning transitions when 220 the sensible heat flux is small. The south-westerly cases in particular are associated 221 with stronger winds and a weak diurnal cycle of temperature. The north-easterly cases 222 associated with high pressure are generally weaker winds and a stronger diurnal cycle 223 so the selected cases occur around the evening and morning transitions. 224

## **3 Flow structure and flow separation**

Figure 3a-f shows 15-min averaged tower data for all times when the synoptic flow 226 was south-westerly with Fig. 3a-c showing velocity profiles for each tower. The 227 coloured circles show data from the sonic anemometers (coloured according to wind 228 direction) and the black crosses are data from the cup anemometers. The interquartile 229 ranges (25th - 75th percentile) of the 15-min mean wind-speed data for all south-230 westerly periods are shown as horizontal bars. Figure 3d-f shows vertical momentum-231 flux profiles for each tower, where again the sonic anemometer data are coloured ac-232 cording to wind direction and interquartile ranges are shown. Figure 4 shows wind 233



Fig. 3 (a-c): Wind-speed profiles for each tower during south-westerly flow. Cup anemometer data are indicated by black crosses with sonic anemometer data indicated by coloured circles, coloured according to mean wind direction. The error bars show the interquartile range of the 15-min mean wind-speed data. Canopy height is indicated by a dashed line. (d-f): Vertical momentum-flux profiles  $\overline{u'w'}$  (circles) and  $\overline{v'w'}$  (squares) for each tower during south-westerly flow, data coloured according to mean wind direction. Interquartile ranges of the 15-min mean momentum fluxes are shown.



**Fig. 4** 15-min averaged wind data from the AWS and sonic anemometers for all times when the synoptic flow was south-westerly showing (top): frequency distribution wind roses for wind direction, coloured according to wind speed in  $m s^{-1}$  for each AWS. Dashed radius indicates a frequency of 5%. Wind roses plotted on a contour map of field site, terrain contours plotted at 10-m intervals, shaded green marks the forest, black dots mark tower locations. (Bottom): Frequency distribution plots for wind direction, coloured according to wind speed in  $m s^{-1}$  for each tower.

roses of 15-min averaged wind data for the same period for the AWS (top panel) and towers (bottom panel). The AWS cup anemometers are subject to a  $0.78 \,\mathrm{m\,s^{-1}}$  stalling threshold, and so data  $< 1 \,\mathrm{m\,s^{-1}}$  (coloured red) should be treated with caution. The sonic anemometers do not have a stalling threshold so low wind-speed data from the towers can be treated normally. Similar plots for cases when the synoptic flow was north-easterly are shown in Figs. 5 and 6.

For south-westerly flow (Figs. 3a-c and 4) the observations show strong evidence 240 of flow separation, with the flow at tower T3 on the lee slope being predominantly 241 north-easterly or easterly. Tower T2 on the top of the ridge appears to be close to the 242 separation point with reversed, easterly flow deep within the canopy, but with south-243 westerly flow near canopy top. The AWS wind data in Fig. 4 support this conclusion, with flow from the north-east to south-east over the lee slope (AWS ARG, ARF and 245 ARH), and also at the AWS near the summit (ARN). This suggests a large region 246 of flow separation covering most of the lee slope where there is significant forest 247 cover. Note that within the canopy over the lee slope wind speeds are very low, almost 248 exclusively in  $< 1 \,\mathrm{m \, s^{-1}}$ . Flow separation along the ridge crest is less apparent outside 249 the forested region, with AWS ARQ still showing broadly westerly flow, although 250 the flow appears to be more north-westerly than south-westerly perhaps indicating 251 the commencement of some flow separation. The AWS ARN site, which is on clear 252 ground, but with trees to both the south-west and north-east, shows a reversal of 253 winds. The east slope of the ridge is sufficiently steep that flow separation might 254 occur even in the absence of the canopy, however it seems unlikely that this would 255 happen at AWS ARN. Interestingly there is considerable variability in wind direction 256

over the upwind slope as well, with AWS ARA, ARB and ARC exhibiting either
 north-westerly or south-easterly flow.

In south-westerly flow the stronger winds at tower T1 lead to enhanced shear and 259 a larger along-stream momentum flux,  $\overline{u'w'}$  compared to the other two towers. The 260 relatively exposed site implies that the wind shear is exists right down to the surface, 261 and that the flow cannot be considered as a pure canopy flow. The uniform wind 262 direction means the cross-stream momentum flux,  $\overline{v'w'}$  is much smaller. The large 263 negative values of  $\overline{u'w'}$  at the top of tower T2 (Fig. 3 e) indicate a downward flux 264 of momentum as faster moving air above the canopy is drawn down into the canopy. 265 However, further down in the canopy  $\overline{u'w'}$  is positive indicating that momentum in 266 the along-flow direction in local streamline coordinates is transported upwards. This 267 is somewhat counter-intuitive at first glance, but can be explained by the directional 268 shear with height caused by the region of flow separation. This results in du/dz in 269 streamwise coordinates being small or negative throughout much of the canopy, al-270 though the wind speed increases with height. Alongside the positive  $\overline{u'w'}$ , larger val-271 ues of  $\overline{v'w'}$ , similar in magnitude to  $\overline{u'w'}$ , are observed, which is again consistent with 272 directional shear being important. At tower T3 the region of separated flow appears 273 to extend above the tower and inside the separation region winds are very light with 274 little variation in wind speed or direction with height, consistent with the small and 275 almost constant momentum flux. Since the change in wind speed is very small, the 276 directional shear that is present gives rise to the small positive  $\overline{u'w'}$  values at T3. 277

For north-easterly flow (Figs. 5(a)-(c) and 6) wind speeds are lower than for the south-westerly cases. Consequently the flow patterns over the ridge are less defined,



Fig. 5 As Fig. 3, but for north-easterly cases.

with much of the AWS data showing windspeeds below the  $1 \text{ m s}^{-1}$  threshold. The upwind profile at T3 shows much stronger winds than in south-westerly flow, even though synoptic winds are lighter. The profile above the canopy also appears closer



Fig. 6 As Fig. 4, but for north-easterly cases.

to logarithmic in character than the south-westerly flow case where tower T3 was in the separation region; this is consistent with the nearly constant profile of  $\overline{u'w'}$  and negligible  $\overline{v'w'}$ . For this north-easterly case there is less evidence of flow separation

from the tower data over the summit and in the lee. The flow at tower T2 remains 286 north-easterly, and at tower T1 the flow is also north-easterly except at the lowest 287 measurement height. At this height (2.96m) the flow is very variable in direction, 288 but having a more westerly component. The AWS data in Fig. 6 do however provide 289 further evidence of flow separation, with flow at sites on the windward slope being 290 predominantly north-easterly, while over the lee slope the winds are again very light 291 and variable with flow broadly south-westerly. The weaker and shallower flow sepa-292 ration seen in this case is likely to be explained by the less steep lee slope and also 293 the fact that tower T1 is closer to the summit of the ridge than is tower T3. As in 294 the south-westerly case there is no strong evidence of flow separation on the transect 295 outside the forest canopy. The AWS ARJ site, at the upwind foot of the ridge, does 296 show a reversal in the flow, with consistently westerly or south-westerly winds. This 297 is a recurring feature of the easterly flow over this ridge and is attributed to the block-298 ing of the low-level flow by the steeply rising land and the forest edge. At tower T1, 299 despite the tower being mostly outside the separation region, the wind speeds decay 300 relatively slowly with height in the canopy, and as a result the momentum flux values 301 also only decay slowly with height (Fig. 5 a). At the lowest point on tower T3 there 302 is evidence of a sub-canopy jet near the ground due to the lower canopy density in 303 the trunk space compared to higher up in the canopy. This feature is present at tower 304 T3 in the south-westerly case as well, but is less distinct due to the generally weaker 305 flow in the separation region. For north-easterly flow there is also some evidence of 306 a sub-canopy jet at tower T2, which is not present in the south-westerly cases. This 307 is due to differences in the canopy cover, with the canopy to the west of tower T2 308

One further noticeable feature of the wind profiles in Figs. 3 a-c is the much 311 larger variability in 15-min mean wind speeds on the upwind slope, evident from 312 the wider interquartile spread. One would expect a larger range of wind speeds at 313 tower T1 because the mean wind speed is higher. One normalized measure of the 314 variability is the interquartile range divided by the mean wind speed (i.e. the width 315 of the error bars divided by the mean values in the figure). At tower T1 this gives 316 values of 0.78-0.82, but in comparison, at towers T2 and T3 values are smaller, in 317 the range of 0.44-0.51 and 0.39-0.57 respectively. Wind speeds are often assumed to 318 follow a Weibull distribution (e.g. Justus et al., 1976, and many subsequent studies), 319 with a shape parameter k close to 2. Assuming this distribution, then the normalized 320 interquartile range can be calculated as approximately 0.72. This suggests that winds 321 on the upwind slope are slightly more variable than might be expected, while those 322 over the summit and in the lee demonstrate significantly less variability. The north-323 easterly cases show a similar pattern of variability in wind speeds as occurs in the 324 south-westerly cases, with much higher variability at the upwind tower T3 (0.67-325 1.08) compared to tower T2 at the summit (0.36-0.58) and T1 on the lee slope (0.35-326 (0.43). This therefore seems to be a robust feature of these canopy flows. 327

# **4 Profiles of turbulence statistics**

Here, we present profiles of various turbulence statistics calculated from the sonic anemometer data at the three tower sites over the hill. Figure 7a-c shows profiles of



Fig. 7 Profiles of (a-c) turbulent kinetic energy k normalized by the friction velocity  $u_*$  squared, (d-f) horizontal variance normalized by the friction velocity, (g-i) vertical velocity variance normalized by the friction velocity, (j-l) horizontal velocity skewness  $Sk_u$  and (m-o) vertical velocity skewness  $Sk_w$ . Profiles are plotted for both south-westerly (×) and north-easterly (+) cases at each tower. For each plot the error bars show the interquartile range of the 15-min averaged data.

turbulent kinetic energy, k, normalized by the friction velocity squared  $(u_*^2 = |\overline{u'w'}|)$ 331 calculated at the top of tower T1. This is used as a reference since it is relatively 332 exposed and gives an indication of the overall flow at a given time. Similarly Fig. 7 333 presents profiles of both (d-f) horizontal velocity variance ( $\sigma_u$ ) and (g-i) vertical ve-334 locity variance ( $\sigma_w$ ) normalized by  $u_*$  at the top of tower T1. Using a single value 335 of  $u_*$  allows the relative magnitude of k,  $\sigma_u$  and  $\sigma_w$  at the different towers to be as-336 sessed. It is immediately obvious that tower T1 exhibits the highest levels of turbulent 337 kinetic energy and velocity variances, particularly in south-westerly flows. Given the 338 relatively exposed location of tower T1 this is perhaps not surprising, since in a north-339 easterly flow, where tower T1 is slightly more sheltered, turbulence levels are lower. 340 At tower T3 turbulence levels are generally lower than at tower T1, possibly due to 341 the less exposed site, although again there is evidence of higher turbulent kinetic en-342 ergy and velocity variance levels when the flow is from the north-east compared to 343 the south-west. It is interesting to note that increased variability in the normalized 344 15-min mean wind at the upwind tower (Figs. 3 and 5) corresponds to increased nor-345 malized turbulence levels (the mean of the 15-min TKE values). At tower T2 near 346 the summit there is less difference in the magnitude of the turbulence levels between 347 the two wind directions, especially at the top of the tower. What is obvious is a more 348 rapid increase in k,  $\sigma_u$  and  $\sigma_w$  in the upper canopy compared to that at towers T1 and 349 T3, probably related to the increased wind shear due to changes in both wind speed 350 and direction with height. Profiles of the vertical velocity variance,  $\sigma_w/u_*$ , show typi-351 cally smaller values than the corresponding horizontal velocity variances with values 352 at and above canopy top around  $\sigma_u/u_* = 1.5 - 2.5$  and  $\sigma_w/u_* = 1 - 1.5$ . 353

Profiles of horizontal and vertical skewness are given in Fig. 7(j-o) where the 354 skewness is given by  $Sk_{\chi} = \overline{\chi'^3}/(\overline{\chi'^2})^{3/2}$  and  $\chi$  is either the horizontal velocity com-355 ponent u or the vertical velocity component w. In contrast to the turbulent kinetic 356 energy and intensity profiles, towers T1 and T3 show similar profiles of skewness in 357 both upwind and downwind cases. For both towers the skewness is relatively small 358 at and above canopy top, but increases deeper into the canopy, with  $Sk_u \approx 0.5$  and 359  $Sk_w \approx -0.5$  near the ground. In contrast, bigger variations in skewness are seen be-360 tween cases at tower T2. For south-westerly flow  $Sk_u$  remains small throughout the 361 profile, with the largest values being near canopy top. In this case  $Sk_w$  is small at 362 canopy top, but with large values of about -1 within the canopy. It is possible that 363 this very different pattern of skewness is related to the strong directional shear seen 364 at tower T2 for south-westerly cases where the tower is located close to the sepa-365 ration point of the flow. In contrast, for north-easterly flow the profiles of  $Sk_u$  are 366 more typical, with small values at canopy top and larger values within the canopy. 367  $Sk_w$  however shows a peak at about 10 m (below canopy top), with values deeper in 368 the canopy dropping close to zero again. Large changes in wind direction with height 369 are not present at tower T2 in the north-easterly cases, however  $\overline{v'w'}$  is comparable 370 to  $\overline{u'w'}$  at this height suggesting that the flow is not representative of flow over an 371 idealized homogeneous canopy. 372

## 373 5 Discussion

<sup>374</sup> 5.1 Comparison with idealized models of flow over a forested hill

From previous theoretical studies (e.g. Finnigan and Belcher, 2004), numerical sim-375 ulations (e.g. Ross and Vosper, 2005) and laboratory experiments (such as Finnigan 376 and Brunet, 1995; Poggi and Katul, 2007b) we have an idealized conceptual picture 377 of flow over a two-dimensional forested ridge. The key features of this conceptual 378 picture are seen in the field observations presented here. The ridge has slopes > 0.1, 379 and so based on Ross and Vosper (2005) we might expect flow separation. This is 380 indeed observed, both at the towers and at the AWS. As would be expected flow sep-381 aration appears to be stronger for south-westerly cases where the lee slope is steeper. 382 Unlike the simple two-dimensional model, flow is not simply reversed over the lee 383 slope, and there may be significant along-slope components to the flow in these flow 384 separation regions (e.g. at AWS ARA, ARB and ARC in Fig. 6). Both the three-385 dimensional nature of the terrain and the heterogeneous nature of the canopy appear 386 to be important in determining the exact nature of the separated flow. 387

In previous idealized studies differences in the induced flow within and above the canopy lead to changes in the shear layer at canopy top across the hill. Over the upwind slope the shear is reduced since there is relatively little acceleration of the flow above the canopy, but there is induced upslope flow within the canopy. Near the summit the above-canopy flow accelerates to its maximum speed, while the in-canopy flow decelerates, leading to an increase in the shear layer and a sharp inflection point in the velocity profile. Over the lee slope the development of a region of flow sep-

aration leads to low wind speeds and reversed flow direction in the canopy. Again 395 we also see these features qualitatively in the field observations presented here (e.g. 396 Figs. 3 and 5). For the south-westerly case this is enhanced by the fact that tower T1 397 is at a relatively exposed site and so the flow is not a pure canopy flow. Near the sum-398 mit at tower T2 we do see a large increase in the momentum flux and some evidence 399 of the inflection point in the velocity profile, however to really confirm this would 400 require observations further above the canopy. As might be expected, the reduced 401 shear over the upwind slope leads to a reduction in the generated turbulent mixing at 402 canopy top in this region, although the fact that there is a mean flow component into 403 the canopy implies that turbulence levels in the upper canopy can actually increase 404 due to vertical advection of more turbulent air from above. There is some evidence 405 of this at towers T1 (for south-westerly flow) and T3 (for north-easterly flow) in both 406 the momentum-flux profiles (Figs. 3 and 5) and the turbulent kinetic energy profiles 407 (Fig. 7). 408

For south-westerly flow the tower on the lee slope (T3) shows evidence of the 409 flow separation region extending well above the canopy top. Since this slope is signif-410 icantly steeper than the critical slope for flow separation to extend above the canopy 411 found by Ross and Vosper (2005) this is not too surprising. It is interesting that we do 412 not see the same features at tower T1 for north-easterly flow, even though the western 413 slope is still relatively steep, although less steep than the eastern slope. The differ-414 ences in the site may well play a role here. Tower T1 is more exposed with a relatively 415 large clearing to the west. The profiles of  $\overline{u'w'}$  in Fig. 5 suggest there is significant 416 mixing of momentum down into the canopy, and this is supported by the wind speed 417

profile which shows little sign of a strong inflection point near canopy top. Miller 418 et al. (1991) and Belcher et al. (2003) have shown that, over flat ground, the mean 419 wind speed rapidly increases as the flow leaves the canopy in response to the removal 420 of the drag force associated with the canopy, and that there is a downward motion 421 into the clearing to conserve mass. With its location at a distance of approximately 422 h from the forest edge, tower T1 is very likely to be affected by these features in 423 north-easterly flow. As shown by Ross and Baker (2013) in their idealized modelling 424 study, the flow over complex terrain with heterogeneous canopy cover is driven by a 425 combination of canopy edge induced and terrain-induced pressure perturbations. Rel-426 atively localized canopy-edge effects will dominate near to the canopy edge, while 427 elsewhere terrain effects will dominate. In their simulations Ross and Baker (2013) 428 observed that flow separation was primarily constrained to within the canopy over 429 moderate slopes, only extending a short distance beyond the edge of the canopy over 430 the lee slope. This is consistent with the shallow separation observed here at tower 431 T1. 432

The impact of forest edges and clearings can also be used to explain the south-433 easterly winds recorded at AWS ARA during south-westerlies (Fig. 4). The theoreti-434 cal model of Belcher et al. (2003) predicts an adverse pressure gradient upwind of a 435 clearing to canopy transition, which acts to decelerate the flow as it approaches the 436 forest edge. In three dimensions this deceleration may lead to deflection of the flow 437 along the canopy edge (as seen at AWS ARA, ARB and ARC), or even to flow rever-438 sal (e.g. AWS ARJ). Similar flow separation at the upwind edge of the canopy is seen 439 in the large-eddy simulations of Cassiani et al. (2008) over flat ground and also at the 440

<sup>441</sup> upwind canopy edge on the upwind slope in the idealized two-dimensional numerical
 <sup>442</sup> simulations of Ross and Baker (2013).

### <sup>443</sup> 5.2 Comparison of turbulence statistics with idealized models

The profiles of turbulent statistics presented in section 4 are broadly consistent with 444 previous observations over flat, homogeneous canopies, as summarized for example 445 by Raupach et al. (1996) who present data from a number of different experiments 446 over very different (but homogeneous) canopies. Few of the idealised studies over 447 hills (either experimental or numerical) include turbulent statistics, however there 448 are wind-tunnel observations presented in Finnigan and Brunet (1995). Dupont et al. 449 (2008) largely reproduced these observations in their large-eddy simulation, includ-450 ing additional observations unpublished in the original paper of Finnigan and Brunet 451 (1995). Again these profiles over an idealised ridge are largely consistent with the 452 real field observations presented here. Below we highlight the key differences. 453

As in Finnigan and Brunet (1995) and Dupont et al. (2008), higher values of 454  $\sigma_u/u_*$  and  $\sigma_w/u_*$  are observed in the lower canopy at the upwind tower (T1 for 455 south-westerly flow and T3 for north-easterly flow). This is likely to be due to the 456 mean flow into the canopy leading to advection of turbulence from the upper canopy, 457 and is in line with the observed increase in turbulent kinetic energy at these loca-458 tions. Low values of  $\sigma_u/u_*$  and  $\sigma_w/u_*$  are observed above the canopy on tower T3 459 in south-westerly winds, probably because T3 is entirely within the separation region 460 and subject to weak winds and low shear even above the canopy. The only point on 461 tower T2 which seems to deviate from previous results over flat ground and from 462

the wind-tunnel data is the lowest instrument height in south-westerly winds, which shows larger values of  $\sigma_w/u_*$  than expected (about 0.8), which are also significantly larger than at the height above. At this lowest height slightly elevated values of  $k/u_*^2$ are also observed, along with positive momentum fluxes, larger in magnitude than at the height above. There is relatively little evidence of trunk space flow in these conditions (thick Sitka spruce to the west of the tower), and so the increased turbulence is probably related to the strong directional shear and is a feature of the three-dimensional flow in this non-idealized situation.

In Finnigan and Brunet (1995) and Dupont et al. (2008) the skewness changes 471 relatively little over most of the hill, with small values of both  $Sk_{\mu}$  and  $Sk_{\nu}$  aloft and 472  $Sk_u$  increasing to 1 to 1.5 in the canopy and  $Sk_w$  decreasing to -1 to -1.5. These 473 are slightly higher in magnitude than many of the profiles presented in Raupach et al. 474 (1996) for canopies on flat ground and the values do not decrease with height lower 475 down in the canopy. This is probably a reflection of the modelled canopy in the wind 476 tunnel rather than the fact that the flow is over a ridge. Values are quite variable in 477 the wind-tunnel data over the summit and just downwind, but there does appear to 478 be peaks in both  $Sk_u$  and  $Sk_w$  near canopy top over the summit. In the recirculation 479 region in the wind tunnel  $Sk_u$  takes its largest positive values and  $Sk_w$  takes its largest 480 negative values. The variations in skewness across the hill seen in the field observa-481 tions presented here are broadly consistent with those in Finnigan and Brunet (1995), 482 although the values of the skewnesses are less than those seen in the wind-tunnel 483 experiments. The key location where the skewness differs from the results over flat 484 ground presented in Raupach et al. (1996) is at tower T2 in south-westerly winds 485

where  $Sk_u$  is small throughout most of the canopy, only increasing towards canopy 486 top. In contrast  $Sk_w$  has large negative values in the canopy (up to -1.5). So in this 487 region close to flow separation and with strong direction shear the horizontal winds 488 show relatively little skewness, while vertical motion is dominated by strong down-489 ward gusts from the upper canopy. The only other notable difference from skewness 490 profiles over flat ground are near canopy top at tower T3. For north-easterly cases 491  $Sk_w$  becomes slightly positive above the canopy, while it remains negative for south-492 westerly cases. In the south-westerly flow the tower is entirely within the separation 493 region and so strong downward events dominate. In contrast, for the north-easterly 494 cases the mean flow and other turbulent statistics profiles look similar to over flat 495 ground, and so this slight increase in strong upward motion events is somewhat sur-496 prising. 497

### 498 6 Conclusions

A unique set of airflow measurements from within and above a forest canopy in complex terrain has been presented. This dataset provides much needed information to help support and improve our current understanding and modelling of canopy flows over complex heterogeneous terrain.

Data from across-ridge flows have been presented and have been shown, at least qualitatively, to be in agreement with predictions from idealized two-dimensional theory, numerical models and wind-tunnel experiments. In particular the occurrence of flow separation appears to be a common event in both south-westerly and northeasterly flows, although the details of the separation are very dependent on local het-

erogeneities in the canopy cover and the terrain. Clearings in the canopy have been 508 seen to modify the wind profile and reduce or prevent the formation of flow separa-509 tion, even at a short distance of order h into the clearing. Cases such as these have 510 highlighted the necessity to explicitly model the canopy and to capture the canopy 511 heterogeneity if models are to accurately predict flow patterns (including flow sepa-512 ration) over small-scale hills, or if comparison is to be made with observations made 513 in clearings. The occurrence of flow separation can also have significant effects on 514 scalar transport, as highlighted by Ross (2011) and so such details are also likely to be 515 important in the planning and interpretation of flux measurements at sites in complex 516 terrain. 517

The observed flow is strongly three dimensional with strong directional shear with 518 height in regions of flow separation. This has a significant impact on the Reynolds 519 stress terms  $\overline{u'w'}$  and  $\overline{v'w'}$  with  $\overline{u'w'}$  being positive and  $\overline{v'w'}$  being similar in mag-520 nitude to  $\overline{u'w'}$  at a number of locations, particularly for south-westerly flows with 521 larger-scale flow separation. This is something not seen in the many idealized two-522 dimensional theoretical and modelling studies and makes interpretation of the flow 523 and direct comparison with simple theories complicated. The strong directional shear 524 may be important for wind damage to trees and for wind energy applications since 525 it may place additional torsional forces on the trees or wind turbines. Higher order 526 turbulence statistics show similarities with profiles over flat ground at some sites and 527 for some wind directions, but there are also significant differences, again particularly 528 around regions with strong directional shear. 529

In future this dataset will also offer useful opportunities to test the validity of the turbulence closure schemes used in numerical models of canopy flow in complex and heterogeneous terrain. It will also be important to validate the models themselves for predicting flow in such conditions. Such validation beyond simple idealized problems is essential if these models are to be used to understand complex canopy flows and to make predictions of the impact of such flows.

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# 542 Appendix 1

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Instrument make and	Use	Accuracies	
model			
3-D sonic anemometer:	Four on towers T1	At $1 \text{ m s}^{-1}$ : $\pm 0.1 \text{ m s}^{-1}$ and $\pm 5^{\circ}$ .	
Metek USA-1	and T3, two at lower At $4 \text{ m s}^{-1}$ : $\pm 0.15 \text{ m s}^{-1}$ and $\pm 3^{\circ}$ .		
	heights on tower T2	tower T2 At $10 \mathrm{ms^{-1}}$ : $\pm 0.3 \mathrm{ms^{-1}}$ and $\pm 2^\circ$ .	
		For $20-50ms^{-1}\colon\pm2\%$ and $\pm2^\circ.^*$	
3-D sonic anemometer:	Two at upper heights	Wind speed: <1% rms, wind direction: $<$	
Gill R3A	on T2	±1% rms**	
Cup anemometer: NRG	Towers and AWS	$0.1\mathrm{ms^{-1}}$ within a range of $5\mathrm{ms^{-1}}$ to	
Type 40		$25{ m ms^{-1}}$	
Wind vane: NRG Type	AWS	1%	
200P			
Temperature sensor: Be-	Towers and AWS	1% at 25°C	
tatherm Series 1 thermis-			
tor			
Pressure sensor: Intersema	AWS	$\pm 0.5$ hPa at 25°C	
MS5534			
Digital temperature sen-	AWS	$\pm 0.5^{\circ}C$	
sor: Sensirion SHT1x			

**Table 1** Overview of instruments used throughout the field campaign. \*Accuracy applies for horizontalwind speeds. \*\*Accuracy applies for wind speed <  $32 \,\mathrm{m \, s^{-1}}$  and for wind incidence angles  $\pm 20^{\circ}$  from thehorizontal.

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Tower	Within	Canopy description	Altitude	Site description
	canopy		(m)	
T1	Yes	Dense Sitka spruce	$170\pm10$	Located on south-west facing slope in
		plantation (16.8 m)		a large clearing (approximately $40  \text{m}^2$ ).
				Tower located to the north-east of the
				clearing. Steep rocky outcrop (approxi-
				mately 5 m tall) dropping off to west of
				tower.
T2	Yes	Dense Sitka spruce	$165\pm10$	Located on summit of ridge in a small
		plantation (18.5 m)		clearing (approximately 15 m <sup>2</sup> ).
Т3	Yes	Sitka spruce planta-	$110\pm10$	Located on north-east facing slope in a
		tion upslope, mixed		natural clearing, on significantly steeper
		deciduous forest		terrain than T1 and T2.
		downslope (15.7 m).		

Table 2 Summary of the main features of each tower site describing canopy, altitude and general terrain. The heights included in the canopy description are mean canopy heights calculated from the survey plots nearest each site.

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AWS	Within	Canopy description	Altitude	Site description
	canopy		(m)	
ARA	Yes	Dense Sitka spruce	$150\pm5$	Located on south-west facing slope, with
		plantation (14.5 m)		a large clearing to the south-west and ex-
				tending east.
ARB	Yes	Dense Sitka spruce	$175\pm5$	Located approximately 30 m south-east of
		plantation (17.6 m)		Т1.
ARC	Yes	Dense Sitka spruce	$112\pm5$	Located on the south-west facing slope, at
		plantation to the		the edge of the plantation. Plantation to the
		north-east (18.6 m),		north-east, open field to the south-west.
		no canopy to the		
		south-west.		
ARE	No	NA	$230\pm1$	Out of the canopy, approximately 200 m
				north-west of the plantation edge, on the
				north-east facing slope.
ARF	Yes	Mixed canopy	$135\pm10$	Located on the steep, north-west facing
		of Sitka spruce and		slope, directly downslope from T2, fully
		hybrid larch (26.8 m)		surrounded by canopy, though canopy less
				dense than further upslope.
ARG	Yes	Dense Sitka spruce	$180\pm10$	Located approximately 50 m north of T2
		plantation (20.2 m)		in a small clearing (approximately $5  \text{m}^2$ ).
ARH	Yes	Mixed canopy of	$115\pm10$	Located on the steep, north-east facing
		Sitka spruce and		slope approximately 30 m north of T3.
		western hemlock		Fully surrounded by canopy though less
		(27.0 m)		dense than further upslope.
ARJ	No	NA	$8\pm5$	Located at the base of the ridge, on the
				coast, out of the canopy.
ARL	No	NA	$13\pm5$	Located at the base of the ridge, out of the
				canopy, at a valley mouth, approximately
				100 m inland from the sea.
ARN	No	NA	$221\pm1$	Located on the ridge summit, out of the
				canopy on a small plateau.
ARP	No	NA	$263\pm1$	Located on the ridge summit, out of the
				canopy, on the summit of a small hillock.
				Rocky outcrops to the north-east.
ARQ	No	NA	$213\pm1$	Located on the north-east facing slope, out
				of the canopy.

Table 3 Summary of the main features of each AWS site describing canopy, altitude and general terrain.

The heights included in the canopy description are the height of the tree with the greatest diameter at breast

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