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Shanahan, T.A.G., Fuller, G.W. [orcid.org/0000-0001-8532-3500](https://orcid.org/0000-0001-8532-3500), Sheldon, T. et al. (3 more authors) (2021) External validation of the Dutch prediction model for prehospital triage of trauma patients in South West region of England, United Kingdom. *Injury*, 52 (5). pp. 1108-1116. ISSN 0020-1383

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Title Page

**Manuscript Title:** External validation of the Dutch prediction model for prehospital triage of trauma patients in South West region of England, United Kingdom

**Word Count:** 4074

**Keywords:** emergency medicine, emergency care, prediction models, trauma systems, major trauma, triage, prehospital, undertriage, overtriage

## Abstract

**Importance:** This paper investigates the use of a major trauma prediction model in the UK setting. We demonstrate that application of this model could reduce the number of patients with major trauma being incorrectly sent to non-specialist hospitals. However, more research is needed to reduce over-triage and unnecessary transfer to Major Trauma Centres.

**Objective:** To externally validate the Dutch prediction model for identifying major trauma in a large unselected prehospital population of injured patients in England.

**Design:** External validation using a retrospective cohort of injured patients who ambulance crews transported to hospitals.

**Setting:** South West region of England.

**Participants:** All patients  $\geq 16$  years with a suspected injury and transported by ambulance in the year from February 1, 2017. Exclusion criteria: 1) Patients aged  $\leq 15$  years; 2) Non-ambulance attendance at hospital with injuries; 3) Death at the scene and; 4) Patients conveyed by helicopter. This study had a census sample of cases available to us over a one year period.

**Interventions or exposures:** Tested the accuracy of the prediction model in terms of discrimination, calibration, clinical usefulness, sensitivity and specificity and under- and over triage rates compared to usual triage practices in the South West region.

**Main outcome measure:** Major trauma defined as an Injury Severity Score>15.

**Results:** A total of 68799 adult patients were included in the external validation cohort. The median age of patients was 72 (i.q.r. 46-84); 55.5% were female; and 524 (0.8%) had an Injury Severity Score>15. The model achieved good discrimination with a C-Statistic 0.75 (95% CI, 0.73 – 0.78). The maximal specificity of 50% and sensitivity of 83% suggests the model could improve undertriage rates at the expense of increased overtriage rates compared with routine trauma triage methods used in the South West, England.

**Conclusions and relevance:** The Dutch prediction model for identifying major trauma could lower the undertriage rate to 17%, however it would increase the overtriage rate to 50% in this United Kingdom cohort. Further prospective research is needed to determine whether the model can be practically implemented by paramedics and is cost-effective.

## Highlights

- First study to externally validate the only empirically derived European prediction model for identifying major trauma in undifferentiated pre-hospital patients.
- Implementing the Dutch model could lower the undertriage rate from 56% to 17%, however it would increase the overtriage rate from 16% to 50%.
- Further research is needed to reduce overtriage and determine whether the model can be practically implemented by paramedics and is cost-effective.

## **Introduction**

Trauma is a major cause of mortality and disability globally(1). It is the leading cause of death in those aged under 40 and increasing in the ageing population(1,2). Treating patients with serious injuries in specialist Major Trauma Centres (MTCs) can increase survival(1,3–6). In England, introduction of regional trauma systems resulted in a 20% increase in adjusted odds of survival from severe injury(1). In the United States, a 9% lower crude mortality rate was found in states with organised trauma systems(7), and in Victoria, Australia, there was a relative mortality reduction of 38% over five years following introduction of a trauma system(8).

Accurate pre-hospital identification of patients with severe injuries and their triage to specialised centres is required in order to achieve these benefits. Undertriage, whereby patients with major trauma are incorrectly taken to the nearest non-specialist hospital instead of a MTC, results in avoidable morbidity and mortality(1,3,9–11). Overtriage, whereby a patient without significant injury is inappropriately triaged to an MTC, can result in scarce resources being wasted and patients inconvenienced(12,13). The American College of Surgeons Committee on Trauma (ACS-COT) recommends limits on undertriage rates at 5% and up to 50% for overtriage(13).

Existing triage methods in the UK use a step by step process which looks at the vital signs and conscious level, anatomy of injury, mechanism of injury and special considerations, such as length of time it will take to get to an MTC, airway compromise and catastrophic haemorrhage (14). However, they are consensus based and inaccurate(3,15). As in other areas

of clinical decision making(16), triage decisions may be improved by using empirically derived prediction models(17).

Recently, a Dutch major trauma triage prediction model was empirically derived to guide prehospital transport decisions. It was tested in the Central Netherlands and validated in the Brabant region, with an undertriage rate of 11.2% and overtriage rate of 50%. The 50% overtriage rate was pre-set by the Dutch researchers(18), as the limit of the ACS-COT guidance. The Dutch researchers recommended external validation to account for differences in the incidence of severe injuries in other populations and help determine the acceptable baseline risks in different settings(18).

The aim of this study was to externally validate the Dutch prediction model in a large and unselected pre-hospital population of injured patients in England.

## **Methods**

We externally validated the Dutch prediction model in a retrospective cohort of injured patients attended by ambulance crews in the South West region of England. We tested the accuracy of the model in terms of discrimination, calibration, clinical usefulness and reported under- and overtriage rates compared to usual practice. The study adhered to, and is reported using, international guidelines (TRIPOD) for prognostic model validation(19).

## **Setting**

Care for injured patients in England is provided by regional major trauma networks. Patients with suspected major trauma in the field are transported directly to MTCs (equivalent to ACS

Level 1 or 2 trauma centres). Injured patients who do not meet prehospital major trauma triage criteria, or with uncontrolled haemorrhage or unstable airways, are transported to their nearest hospital ('trauma units', equivalent to ACS level 3 trauma centres).

## Sources of data

Data routinely collected in the prehospital environment on injured patients were provided by the South Western Ambulance Service NHS Foundation Trust (SWASFT) for the period February 1, 2017 through to February 1, 2018. Ambulance personnel routinely record demographic information, vital signs and other physiological parameters at scene, mechanism of injury, type of injury, frailty score, name of the receiving hospital and a free text summary of the incident in an electronic patient record form (ePRF).

Prehospital data was matched by deterministic data linkage with data submitted to the Trauma Audit and Research Network (TARN) on eligible patients (using incident number, age and sex) by MTCs and Trauma Units (TUs) to provide information on the Injury Severity Score (ISS)(20). TARN is the registry for collating data on trauma patients in the UK. TARN includes seriously injured patients admitted to MTCs and TU including those who die during admission, are admitted for  $\geq 3$  days, undergo transfer for specialist care, or require critical care. Full eligibility criteria have been published previously(21).

## Participants

All patients 16 years and older with a suspected injury and transported by SWASFT from February 1, 2017 to February 1, 2018. The study had the following exclusion criteria:

- Patients aged  $\leq 15$  years.



- Non-ambulance attendance at hospital with injuries.
- Death at the scene.
- Patients conveyed by helicopter emergency medical services.

## Predictors

The Dutch prediction model found eight independent predictor variables significant for identifying major trauma in patients(18). Data on these variables were available in the South West ambulance service ePRF. The coding ‘multiple trauma’ was used as a proxy for suspected injury in  $\geq 2$  AIS regions. An algorithm using free text in the ambulance dataset was developed to identify mechanism criteria (fall of greater than 2 metres, motor vehicle collision, or any type of entrapment), thorax injury, penetrating injury to head, thorax or abdomen, and head or neck injury. The algorithm identified these variables by the presence or absence of combinations of keywords in the ePRF, chosen to maximise the sensitivity and specificity of the algorithm. The algorithm has a very high accuracy found by comparing its output to that of 1000 randomly reviewed entries. Checking with hand coding of 1000 randomly chosen entries we found the algorithm had a high sensitivity and specificity for the following variables: mechanism criteria (99% and 98%), head and neck injury (98% and 97%), thorax injury (97% and 100%) and penetrating injury (98% and 100%).

## Outcome

The outcome was major trauma, defined as a patient with Injury Severity Score (ISS)>15. The ISS is a retrospective post imaging anatomical and clinical scoring system. ISS is the current reference standard for major trauma in the UK and is widely used globally to classify patients with multiple injuries(22,23). The ISS score ranges from 1 to 75. ISS is recorded in

the Trauma Audit and Research Network (TARN) registry and all patients with ISS>15 injuries are TARN eligible, therefore an ISS≤15 was assumed for all patients that were not linked to data in TARN.

### **Sample size**

Experts recommend a minimum of 100 events and 100 non-events, (24) or 200 events and 200 non-events (25) for external validation samples using logistic regression models. This study adheres to the latter recommendation.

### **Missing data**

Missing data were multiply imputed using chained equations on the basis of a missing at random assumption. Continuous variables were imputed using predictive mean matching when evaluation using diagplots(26) indicated imputations were implausible due to the variable having a non-normal distribution(27,28). The number of imputed datasets was determined by the fraction of missing information. The imputational model included the predictor variables, other relevant variables, such as gender, diastolic BP, respiratory rate, heart rate, oxygen saturation and destination hospital and the outcome. Model performance was averaged for missing data using robust methods(28–30).

### **Data analysis**

Firstly, baseline characteristics of the UK validation cohort compared with the original Dutch prediction cohort are presented as median and interquartile range for continuous variables and number and percentages for categorical variables. The full linear programming describing the

published model, including the coefficients and intercept, was provided by the Dutch research team (eFigure 1).

Secondly, the diagnostic accuracy of the South West major triage tool was examined. Current performance in triaging patients with an ISS>15 to MTCs were estimated in the South West region. Undertriage was defined as the proportion of patients with major trauma (ISS>15) not identified, divided by the total number of major trauma patients. Overtriage was defined as the proportion patients without major trauma (ISS<15) identified as having major trauma, divided by the total number of patients without major trauma.

The triage tool in the South West has a number of stages and criteria and is similar to tools used across England(14). First, do serious injuries include certain physiological or anatomical criteria? If yes can airway and catastrophic haemorrhage be safely managed? If yes, transfer to an MTC, if it is less than 60 minutes away. If the injury does not meet the anatomical or physiological criteria, but the clinician remains concerned and it meets above time criteria and the airway or haemorrhage can be managed safely, then transfer to the MTC(14). Triage status was determined for each patient according to whether their recorded data met South West triage criteria; independent of whether they were transported to a trauma centre or trauma unit.

Thirdly, the sensitivity and specificity of the Dutch model was then compared to the current South West triage tool. A maximum specificity of 50% was pre-determined as per the limits recommended by ACS-COT and in line with the approach used by the Dutch researchers.

1 Fourthly, statistical performance of the Dutch prediction model was assessed by  
2 discrimination and calibration(31). Discrimination evaluates whether patients who have the  
3 outcome (major trauma) have higher risk predictions calculated by the model than those who  
4 do not. For the binary outcome of ISS>15, the C-Statistic, equivalent to the area under the  
5 Receiver Operating Characteristic (ROC) curve, was calculated as a measure of how well the  
6 model discriminates between those with major trauma and those without major trauma(31).  
7 The C statistic is interpreted as the probability that a randomly selected patient who had the  
8 outcome will have a higher predicted probability of major trauma than a randomly selected  
9 subject who did not experience the outcome. The minimum value of C is 0.0 and the  
10 maximum is 1.0. Conventionally, C-values of 0.7 to 0.8 to show acceptable discrimination,  
11 values of 0.8 to 0.9 to indicate excellent discrimination, and values of  $\geq 0.9$  to show  
12 outstanding discrimination. (31).

13 Calibration measures how closely predictions made by the model match observed outcomes.  
14 Calibration was assessed by multiple methods comprising: calculating the ratio of expected  
15 versus observed numbers of events (should be close to one if the model calibrates well in the  
16 validation dataset); computing the difference between the mean number of predicted  
17 outcomes and the mean number of observed outcomes ('calibration-in-the-large', should be  
18 close to zero for a well-calibrated model); visual inspection of a calibration plot (a scatter plot  
19 of predicted versus observed outcome probabilities, good predictions will be close to the 45°  
20 line); and measurement of calibration slope (the overall prognostic effects of predictors in the  
21 model, should be close to 1) (31). LOWESS (Locally Weighted Scatterplot Smoothing), a  
22 regression analysis, was used to create a smooth line through the calibration scatter plot to  
23 help visualisation of relationships or trends.(32) The original model was initially evaluated.  
24 Then, to account for the UK validation cohort having a different prevalence of major trauma  
25 than in the Dutch studies, resulting in a difference in the baseline risk, the intercept was re-

1 estimated(33). The intercept is the expected proportion of major trauma when all the model  
2 predictors are zero/negative. This will be lower when the overall cohort prevalence of major  
3 trauma is less as observed in the UK cohort. The content and weighting of variables  
4 (coefficients) in the Dutch model were not changed.  
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11 Decision curve analysis was then used to estimate the net benefit of using the Dutch  
12 prediction model to triage patients to an MTC. Net benefit analysis is a statistical technique  
13 recommended by the TRIPOD guidelines to help evaluate whether application of prognostic  
14 models would aid clinical decision making given a specific patient's risk of an adverse  
15 outcome and the clinical consequences of the adverse outcome not being predicted.(19,34)  
16  
17 Here we use net benefit analysis to show visually the range of clinically relevant probability  
18 thresholds where application of the Dutch prediction model would show benefit to triaging  
19 all, or no, patients to an MTC.(35). Net benefit in decision curve analysis is defined as true  
20 positives minus false positives x weight(35). In this study, weight was pre-defined as 0.02  
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22 (1:50). This was the same as the approach used by the team that developed the Dutch  
23 prediction model. It also corresponds with the upper limit of the ACS-COT recommendation  
24 for over-triage of 50%.  
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43 We also conducted a sub-group analysis of TARN linked patients. We chose to do this to see  
44 if the Dutch prediction model would work better than existing triage methods in a high  
45 prevalence major trauma cohort. We provide information on the baseline characteristics of  
46 the group and how it compares with the derivative cohort and validation cohort. We tested the  
47 accuracy of the Dutch prediction model in the TARN linked patients, in terms of  
48 discrimination, calibration, sensitivity and specificity, undertriage and overtriage rates and  
49 clinical usefulness.  
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2 Data were analysed using STATA 16 (StataCorp. 2019. *Stata Statistical Software: Release*  
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5 16. College Station, TX: StataCorp LLC).  
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## 10 **Ethics**

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15 The study received ethical approval from the Yorkshire and The Humber - Bradford Leeds  
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17 Research Ethics Committee (Reference: 19/YH/0197). As the study involved secondary  
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19 analysis of routinely collected healthcare data consent was not required.  
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## 24 **Results**

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### 29 **Participants**

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34 74261 patients had injuries and were transported by the SWASFT to hospitals in the South  
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36 West region over the year. 5462 injured patients aged 15 years or below were excluded. A  
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38 total of 68799 adult patients with injuries and transported by the SWASFT were included in  
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40 the final external validation cohort. 1624 patients of these patients were recorded on the  
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42 TARN registry for this period and linked to the prehospital data set. 524 patients with an  
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44 ISS>15 were derived from this subset of TARN registry linked patients (figure 1).  
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51 Table 1 shows the demographics of our cohort compared to the Dutch derivation cohort. The  
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53 median age of patients was 72 (i.q.r. 46-84); 55.5% were female; and 524 (0.8%) had an  
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55 ISS>15. Among patients who had major trauma (ISS>15) the median age was 66 (i.q.r. 43-  
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57 83) years; 58% were male; the median ISS was 22 (i.q.r. 17-26). In comparison, the Dutch  
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cohort was younger (45 years), more were male (58.3%) and more patients had an ISS>15.  
(8.8%)

13% of records had one or more predictor variables missing. Multiple imputation (m=13) was performed for gender, age, GCS, respiratory rate, heart rate, systolic blood pressure, diastolic blood pressure, oxygen saturation and destination hospital (table 2).

## Analyses

The existing triage tool in the South West achieved a specificity of 84% (95% CI, 81%-87%) and a sensitivity of 44% (95% CI, 40-48%). Using a maximum specificity of 50% for the Dutch model (as per the limits recommended by ACS-COT) led to an improved sensitivity of 83% (95% CI, 80%-86%). The Dutch prediction model was thus able to improve under-triage by 39%, at the expense of increasing over-triage by 34%.

Table 3 and 4 provide further information on how well the model compares with existing methods at identifying those with (true positive) and without (true negative) major trauma and corresponding sensitivity and specificity with 95% confidence intervals.

Validation of the Dutch model in the UK cohort suggested good discrimination (C-Statistic 0.75, 95% CI, 0.73 – 0.78) (figure 2), but sub-optimal prediction of individual patient's probability of having major trauma (calibration) (eFigure 2). The expected to observed events ratio before re-calibration (2.47) and "calibration in the large," (-1.02) indicates the model under-predicted major trauma in the UK validation cohort (Table 5). Re-estimation of the intercept of the Dutch model to adjust for the lower prevalence of major trauma in the UK cohort improved measures of calibration.

However, visually there remains poor agreement between the predicted and observed number of events in population groupings with the model underestimating risk in patients with higher probabilities of major trauma and over estimating risk in those with a very low probability of sustaining severe injuries (eFigure 2).(16)

Figure 3 shows the decision curves and net benefit of using the Dutch prediction model for identifying patients with major trauma ( $ISS \geq 15$ ) compared to treating no, or all patients, at MTCs. The x-axis shows the threshold, defined as the ratio between true positives and false positive patients. In this case a threshold of 0.02 means one is willing to accept 49 wrongly classified as having major trauma for every one patient identified with major trauma. The y-axis shows net benefit which is defined as true positives – false positives x weight. Weight was pre-defined as 1:50 or 0.02, as explained in the methods section. Use of the Dutch model showed potential benefit over ‘triage all to an MTC’ and ‘triage none’ alternatives.

The 1624 patients in the sub-group of TARN linked cases showed a higher prevalence of major trauma (32.3%). 43.9% of major trauma patients in the sub-group were treated in a MTC. The Dutch prediction model had the same discriminative ability in the external validation cohort and sub-group with C-Statistic of 0.75 (95% CI, 0.72 – 0.77). The intercept was re-calibrated in the sub-group to account for the difference in prevalence of trauma with the derivative cohort. In the sub-group of TARN eligible patients there was better visual agreement between predicted and observed probability of major trauma across the whole range of risk of severe injury (eFigure 3). The Dutch prediction model in the sub-group had an undertriage rate of 12% and overtriage rate of 50%, which is comparable with the derivation cohort (11.2% and 50%, respectively). eFigure 4 decision curve analysis visually



shows the Dutch prediction has potential benefit over ‘triage all to an MTC’ and ‘triage none’ alternatives at a threshold of 0.13. This means one is willing to accept 6.5 patients wrongly classified as having major trauma for every one patient identified with major trauma.

## **Discussion**

### **Summary of results**

The Dutch major trauma prediction model achieved good discrimination with a C-Statistic 0.75 (95% CI, 0.73 – 0.78). After adjusting the model intercept for difference in major trauma prevalence calibration was also good (calibration in the large = 0). However, the model is not as good at predicting higher probabilities of major trauma in our validation cohort. When aiming for a specificity of 50% the model reduced the undertriage rate compared with the existing South West trauma triage tool from 56% to 17%, at the expense of increasing overtriage from 16% to 50%. Overall performance in this external validation cohort was not as good as the derivation cohort and did not meet the ACS-COT under and over triage recommendations(13).

### **Interpretation of findings**

As is often the case, the Dutch prediction model performed less well in external validation than in the original derivation study. There were differences in the inclusion criteria of traumatically injured patients used by the Dutch team to derive the model, compared to this UK validation cohort. The Dutch model only included patients that were determined to be highest priority by the dispatch centre and transported to a trauma centre (level 1-3)(18). In

1 the UK cohort, however, all patients with injuries transported to any hospital in the region  
2 were included and so there is a lower prevalence of major trauma. In addition, the external  
3 validation cohort was older and had a higher proportion of females. Nevertheless, the Dutch  
4 model demonstrated good overall performance and in the sub-group analysis the model  
5 appears to perform better when applied to a population with a higher prevalence of trauma.  
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13 We were unable to study the effect of paramedic judgment in determining how injured  
14 patients are triaged in real-life practice. This could affect the under and over triage rates;  
15 however, the evidence is conflicting. One study suggests paramedic judgment is an  
16 independent predictor of serious injury(36); others suggest it may not be more accurate than  
17 triage tools(37–39). However, clinician gestalt should be factored into the development of  
18 new major trauma triage tools or prediction models as it may improve undertriage rates or  
19 quantify adherence to trauma triage protocols(18).  
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33 False negative assessments of major trauma (leading to undertriage) and false positive  
34 assessments (leading to overtriage) are unlikely to have the same impact and the optimal  
35 trade-off between sensitivity and specificity will vary according to different health care  
36 contexts and resource availability. Ideally, in order to optimise triage tool performance,  
37 clinical costs and values would be accounted for to achieve the best balance. Metrics such as  
38 the weighted comparison index or net benefit, allow transparent estimation of these trade-  
39 offs.  
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52 Although an important step in evaluating the accuracy of a triage tool, this external validation  
53 is limited by its retrospective design. From a health services perspective, the effectiveness of  
54 pre-hospital triage is more important than theoretical accuracy of triage tools. This would be  
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1 evaluated by whether patients injured closest to a non-specialist hospital are appropriately  
2 sent to an MTC and whether the trauma team at the MTC is activated when using the triage  
3 tool. This would reflect the extent to which a triage tool is applied in practice, how clinical  
4 judgement is used to interpret observed triage tool variable values, and the influence of  
5 shared patient decision making or other contextual factors. These results should therefore be  
6 interpreted with caution prior to a large-scale implementation study.  
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### 17 **Comparison to previous literature**

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21 Recent research has shown 59.8% of major trauma patients are treated in non-MTCs in the  
22 UK(2). Our study shows similar results with 56.1% of major trauma patients being treated at  
23 a non-MTC.  
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31 A systematic review of the accuracy of prehospital major trauma triage tools (using ISS>15  
32 as the reference standard for major trauma) was recently conducted. Overall, the studies  
33 included had low sensitivity (high under-triage). As such, the reviewed tools were poor at  
34 identifying patients with major trauma. The studies included were low quality, which made it  
35 difficult to interpret the results.(40)  
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46 A recent study assessed the ability of six models to predict major trauma in a dataset from  
47 TARN.(22) This was undertaken before the Dutch prediction model had been derived. It  
48 found three models were best for predicting major trauma (ISS>15): Kampala Trauma Score  
49 (KTS); Physiologic Severity Score (PSS); Prehospital Index (PHI). KTS had an undertriage  
50 rate of 3.6% and overtriage rate of 82.8%(22). That validation study only included those  
51 patients that met the inclusion criteria for TARN, which means they are at higher risk of  
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1 trauma than our cohort and may not reflect the wider trauma population that triage tools need  
2 to be applied to.  
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## 7 **Limitations**

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11 The use of retrospective data has several limitations including: missing data and data  
12 potentially collected/recorded differently from the derivation cohort. The algorithm we used  
13 to provide information on variables from free text is different to how the Dutch emergency  
14 medical services recorded data, which could have resulted in misclassification. However, on  
15 checking with hand coding of 1000 randomly chosen entries we found the algorithm  
16 performed excellently.  
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29 Missing data were multiply imputed using recognised techniques and in accordance with  
30 international guidelines. Our imputation approach assumed physiological variables were  
31 likely missing at random and predicted by other physiological variables and age and sex.  
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33 Imputation of missing data using these techniques is recommended in international guidelines  
34 for validation studies of prognostic models (TRIPOD).  
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43 We assumed all patients in the ambulance cohort not linked to the TARN database had an  
44  $ISS \leq 15$ . Linking the SWASFT and TARN databases could have also resulted in information  
45 bias, if patients who had an  $ISS > 15$  were either not submitted to the TARN registry or not  
46 correctly linked. Included hospitals were found to have a data accreditation range of 88.1% to  
47 96.6% and a mean case ascertainment of 86.1% of eligible patients submitted to TARN in  
48 this period. We used multiple factors to link patients on the SWAFST and TARN database.  
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1 The ISS>15 is contested as reference criteria for major trauma(3,18,22,41,42). Using ISS  
2 may overestimate undertriage, because some of the most unwell major trauma patients are  
3 taken to the nearest hospital for immediate stabilisation(43). Future external validation  
4 studies should compare performance against additional trauma scores such as the New Injury  
5 Severity Score (NISS) and whether it predicts mortality or other measures of patient benefit  
6 from MTC care, such as need for life saving interventions. Furthermore, future studies should  
7 evaluate the prediction model against different definitions of under- and overtriage, e.g. the  
8 Cribari method, which focuses on whether or not trauma teams were activated for patients  
9 with an ISS>15(44,45).  
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## 24 **Implications**

## 25 **Research**

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34 New prediction tools need to be easily implemented by ambulance services. There is  
35 increasing use of mobile apps to assist with prehospital triage(46,47), and a mobile app based  
36 on the Dutch prediction model and which incorporates paramedic judgment is being used in  
37 the Netherlands to determine whether it improves trauma undertriage rates(18,48). This  
38 mobile app could be prospectively trialled in the UK.  
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## 48 **Policy and practice**

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Title Page

**Manuscript Title:** External validation of the Dutch prediction model for prehospital triage of trauma patients in South West region of England, United Kingdom

**Word Count:** 4074

**Keywords:** emergency medicine, emergency care, prediction models, trauma systems, major trauma, triage, prehospital, undertriage, overtriage

## Abstract

**Importance:** This paper investigates the use of a major trauma prediction model in the UK setting. We demonstrate that application of this model could reduce the number of patients with major trauma being incorrectly sent to non-specialist hospitals. However, more research is needed to reduce over-triage and unnecessary transfer to Major Trauma Centres.

**Objective:** To externally validate the Dutch prediction model for identifying major trauma in a large unselected prehospital population of injured patients in England.

**Design:** External validation using a retrospective cohort of injured patients who ambulance crews transported to hospitals.

**Setting:** South West region of England.

**Participants:** All patients  $\geq 16$  years with a suspected injury and transported by ambulance in the year from February 1, 2017. Exclusion criteria: 1) Patients aged  $\leq 15$  years; 2) Non-ambulance attendance at hospital with injuries; 3) Death at the scene and; 4) Patients conveyed by helicopter. This study had a census sample of cases available to us over a one year period.

**Interventions or exposures:** Tested the accuracy of the prediction model in terms of discrimination, calibration, clinical usefulness, sensitivity and specificity and under- and over triage rates compared to usual triage practices in the South West region.

**Main outcome measure:** Major trauma defined as an Injury Severity Score>15.

**Results:** A total of 68799 adult patients were included in the external validation cohort. The median age of patients was 72 (i.q.r. 46-84); 55.5% were female; and 524 (0.8%) had an Injury Severity Score>15. The model achieved good discrimination with a C-Statistic 0.75 (95% CI, 0.73 – 0.78). The maximal specificity of 50% and sensitivity of 83% suggests the model could improve undertriage rates at the expense of increased overtriage rates compared with routine trauma triage methods used in the South West, England.

**Conclusions and relevance:** The Dutch prediction model for identifying major trauma could lower the undertriage rate to 17%, however it would increase the overtriage rate to 50% in this United Kingdom cohort. Further prospective research is needed to determine whether the model can be practically implemented by paramedics and is cost-effective.



## Highlights

- First study to externally validate the only empirically derived European prediction model for identifying major trauma in undifferentiated pre-hospital patients.
- Implementing the Dutch model could lower the undertriage rate from 56% to 17%, however it would increase the overtriage rate from 16% to 50%.
- Further research is needed to reduce overtriage and determine whether the model can be practically implemented by paramedics and is cost-effective.

## **Introduction**

Trauma is a major cause of mortality and disability globally(1). It is the leading cause of death in those aged under 40 and increasing in the ageing population(1,2). Treating patients with serious injuries in specialist Major Trauma Centres (MTCs) can increase survival(1,3–6). In England, introduction of regional trauma systems resulted in a 20% increase in adjusted odds of survival from severe injury(1). In the United States, a 9% lower crude mortality rate was found in states with organised trauma systems(7), and in Victoria, Australia, there was a relative mortality reduction of 38% over five years following introduction of a trauma system(8).

Accurate pre-hospital identification of patients with severe injuries and their triage to specialised centres is required in order to achieve these benefits. Undertriage, whereby patients with major trauma are incorrectly taken to the nearest non-specialist hospital instead of a MTC, results in avoidable morbidity and mortality(1,3,9–11). Overtriage, whereby a patient without significant injury is inappropriately triaged to an MTC, can result in scarce resources being wasted and patients inconvenienced(12,13). The American College of Surgeons Committee on Trauma (ACS-COT) recommends limits on undertriage rates at 5% and up to 50% for overtriage(13).

Existing triage methods in the UK use a step by step process which looks at the vital signs and conscious level, anatomy of injury, mechanism of injury and special considerations, such as length of time it will take to get to an MTC, airway compromise and catastrophic haemorrhage (14). However, they are consensus based and inaccurate(3,15). As in other areas

of clinical decision making(16), triage decisions may be improved by using empirically derived prediction models(17).

Recently, a Dutch major trauma triage prediction model was empirically derived to guide prehospital transport decisions. It was tested in the Central Netherlands and validated in the Brabant region, with an undertriage rate of 11.2% and overtriage rate of 50%. The 50% overtriage rate was pre-set by the Dutch researchers(18), as the limit of the ACS-COT guidance. The Dutch researchers recommended external validation to account for differences in the incidence of severe injuries in other populations and help determine the acceptable baseline risks in different settings(18).

The aim of this study was to externally validate the Dutch prediction model in a large and unselected pre-hospital population of injured patients in England.

## **Methods**

We externally validated the Dutch prediction model in a retrospective cohort of injured patients attended by ambulance crews in the South West region of England. We tested the accuracy of the model in terms of discrimination, calibration, clinical usefulness and reported under- and overtriage rates compared to usual practice. The study adhered to, and is reported using, international guidelines (TRIPOD) for prognostic model validation(19).

## **Setting**

Care for injured patients in England is provided by regional major trauma networks. Patients with suspected major trauma in the field are transported directly to MTCs (equivalent to ACS

Level 1 or 2 trauma centres). Injured patients who do not meet prehospital major trauma triage criteria, or with uncontrolled haemorrhage or unstable airways, are transported to their nearest hospital ('trauma units', equivalent to ACS level 3 trauma centres).

## Sources of data

Data routinely collected in the prehospital environment on injured patients were provided by the South Western Ambulance Service NHS Foundation Trust (SWASFT) for the period February 1, 2017 through to February 1, 2018. Ambulance personnel routinely record demographic information, vital signs and other physiological parameters at scene, mechanism of injury, type of injury, frailty score, name of the receiving hospital and a free text summary of the incident in an electronic patient record form (ePRF).

Prehospital data was matched by deterministic data linkage with data submitted to the Trauma Audit and Research Network (TARN) on eligible patients (using incident number, age and sex) by MTCs and Trauma Units (TUs) to provide information on the Injury Severity Score (ISS)(20). TARN is the registry for collating data on trauma patients in the UK. TARN includes seriously injured patients admitted to MTCs and TU including those who die during admission, are admitted for  $\geq 3$  days, undergo transfer for specialist care, or require critical care. Full eligibility criteria have been published previously(21).

## Participants

All patients 16 years and older with a suspected injury and transported by SWASFT from February 1, 2017 to February 1, 2018. The study had the following exclusion criteria:

- Patients aged  $\leq 15$  years.

- Non-ambulance attendance at hospital with injuries.
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## Predictors

The Dutch prediction model found eight independent predictor variables significant for identifying major trauma in patients(18). Data on these variables were available in the South West ambulance service ePRF. The coding ‘multiple trauma’ was used as a proxy for suspected injury in  $\geq 2$  AIS regions. An algorithm using free text in the ambulance dataset was developed to identify mechanism criteria (fall of greater than 2 metres, motor vehicle collision, or any type of entrapment), thorax injury, penetrating injury to head, thorax or abdomen, and head or neck injury. The algorithm identified these variables by the presence or absence of combinations of keywords in the ePRF, chosen to maximise the sensitivity and specificity of the algorithm. The algorithm has a very high accuracy found by comparing its output to that of 1000 randomly reviewed entries. Checking with hand coding of 1000 randomly chosen entries we found the algorithm had a high sensitivity and specificity for the following variables: mechanism criteria (99% and 98%), head and neck injury (98% and 97%), thorax injury (97% and 100%) and penetrating injury (98% and 100%).

## Outcome

The outcome was major trauma, defined as a patient with Injury Severity Score (ISS)>15. The ISS is a retrospective post imaging anatomical and clinical scoring system. ISS is the current reference standard for major trauma in the UK and is widely used globally to classify patients with multiple injuries(22,23). The ISS score ranges from 1 to 75. ISS is recorded in

the Trauma Audit and Research Network (TARN) registry and all patients with ISS>15 injuries are TARN eligible, therefore an ISS≤15 was assumed for all patients that were not linked to data in TARN.

### **Sample size**

Experts recommend a minimum of 100 events and 100 non-events, (24) or 200 events and 200 non-events (25) for external validation samples using logistic regression models. This study adheres to the latter recommendation.

### **Missing data**

Missing data were multiply imputed using chained equations on the basis of a missing at random assumption. Continuous variables were imputed using predictive mean matching when evaluation using diagplots(26) indicated imputations were implausible due to the variable having a non-normal distribution(27,28). The number of imputed datasets was determined by the fraction of missing information. The imputational model included the predictor variables, other relevant variables, such as gender, diastolic BP, respiratory rate, heart rate, oxygen saturation and destination hospital and the outcome. Model performance was averaged for missing data using robust methods(28–30).

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Firstly, baseline characteristics of the UK validation cohort compared with the original Dutch prediction cohort are presented as median and interquartile range for continuous variables and number and percentages for categorical variables. The full linear programming describing the

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Secondly, the diagnostic accuracy of the South West major triage tool was examined. Current performance in triaging patients with an ISS>15 to MTCs were estimated in the South West region. Undertriage was defined as the proportion of patients with major trauma (ISS>15) not identified, divided by the total number of major trauma patients. Overtriage was defined as the proportion patients without major trauma (ISS<15) identified as having major trauma, divided by the total number of patients without major trauma.

The triage tool in the South West has a number of stages and criteria and is similar to tools used across England(14). First, do serious injuries include certain physiological or anatomical criteria? If yes can airway and catastrophic haemorrhage be safely managed? If yes, transfer to an MTC, if it is less than 60 minutes away. If the injury does not meet the anatomical or physiological criteria, but the clinician remains concerned and it meets above time criteria and the airway or haemorrhage can be managed safely, then transfer to the MTC(14). Triage status was determined for each patient according to whether their recorded data met South West triage criteria; independent of whether they were transported to a trauma centre or trauma unit.

Thirdly, the sensitivity and specificity of the Dutch model was then compared to the current South West triage tool. A maximum specificity of 50% was pre-determined as per the limits recommended by ACS-COT and in line with the approach used by the Dutch researchers.



1 The ISS>15 is contested as reference criteria for major trauma(3,18,22,41,42). Using ISS  
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## 24 **Implications**

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1 local hospitals and conveyance of patients to MTCs could result in ambulances being  
2 unavailable for other patients. Each regional trauma system would have to determine how  
3 much overtriage they are willing to tolerate clinically. The model might have better utility if  
4 only used by paramedics in the cohort of patients they suspect of having major trauma.  
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10 Economic evaluation can help assess whether the benefits of improvements in undertriage  
11 rates outweigh the costs incurred by increasing overtriage rates to MTCs.  
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## 17 **Conclusion**

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22 This external validation using a retrospective cohort showed theoretically the Dutch  
23 prediction model for identifying major trauma patients could lower the undertriage rate to  
24 17%, however it would increase the overtriage rate to 50%. Further prospective research is  
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## Highlights

- First study to externally validate the only empirically derived European prediction model for identifying major trauma in undifferentiated pre-hospital patients.
- Implementing the Dutch model could lower the undertriage rate from 56% to 17%, however it would increase the overtriage rate from 16% to 50%.
- Further research is needed to reduce overtriage and determine whether the model can be practically implemented by paramedics and is cost-effective.

Characteristic	Central Netherlands region ( <i>n</i> = 4950)	South West region of England ( <i>n</i> = 68799)	South West region of England ISS>15 (major trauma) ( <i>n</i> = 524)
<b>Demographics</b>			
Age, years*	45 (27-63)	72 (46-85)	66 (43-83)
Age, 16-64 years	3808 (76.9)	28419 (41.4)	255 (48.9)
Age, 65 years and above	1142 (23.1)	40279 (58.6)	267 (51.2)
Male	2887 (58.3)	30419 (44.5)	301 (58)
Alcohol use	531 (10.7)	6 301 (9.2)	46 (8.8)
Drug use	43 (0.9)	376 (0.6)	3 (0.6)
<b>Physiological characteristics</b>			
Systolic blood pressure, mm Hg*	136 (124-150)	140 (124-157)	138 (121-158)
Diastolic blood pressure, mm Hg*	N/A	80 (72-90)	82 (72-91)
Heart rate, beats per minute*	82 (74-92)	81 (71-93)	83 (73-95)
Respiratory rate, breaths/min*	16 (14-18)	18 (16-20)	18 (18-22)
Oxygen saturation level, %*	98 (96-99)	96 (95-98)	95 (92-98)
GCS score*	15 (15-15)	15 (15-15)	15 (14-15)
<b>Mechanism of injury, No. (%)</b>			
Mechanism criteria	819 (16.5)	8695 (12.6)	203 (38.7)
Fall < 2 meters <sup>‡</sup>	N/A	43971 (63.9)	244 (46.6)
Fall > 2 meters	391 (8.0)	1121 (1.6)	47 (9.0)
Fall from stairs	474 (9.6)	4073 (5.9)	69 (13.2)
Motor vehicle collision	406 (8.2)	7618 (11.1)	158 (30.2)
<b>Injury characteristics, No. (%)</b>			
Penetrating injury to head, thorax or abdomen	90 (1.8)	5821 (8.5)	82 (15.6)
Head or neck injury	2635 (53.2)	30499 (44.3)	306 (58.4)
<b>Expected injury in AIS region</b>			
Thorax	719 (14.5)	2767 (4.0)	59 (11.3)
Expected injury in ≥2 AIS regions	1230 (24.8)	490 (0.7)	20 (3.8)
<b>Clinical characteristics</b>			
ISS*	2 (1-6)	1 (1-1)	22 (17-26)
ISS+	5 (7)	1.3 (2.3)	23.8 (8.3)
ISS ≥15, No. (%)	435 (8.8)	524 (0.8)	524 (100)
<b>Destination, No. (%)</b>			
Major trauma centre	1724 (34.8)	11020 (16.2)	230 (43.9)
Non-MTC	3226 (65.2)	56812 (83.8)	294 (56.1)

Values before parentheses are number of and in parentheses are percentages unless indicated otherwise. \*Values are median (i.q.r.). +values are mean and SD. ‡Dutch derivative sample had no data for fall >2m.

**Table 1:** Baseline characteristics

Baseline characteristics of the prediction model cohort (Central Netherlands region), validation cohort (South West region of England, United Kingdom) and validation cohort with the outcome:  $ISS \geq 15$  (major trauma).

ISS, injury severity score; AIS, abbreviated injury score; MTC, major trauma centre; GCS, Glasgow Comma Score; mm Hg, millimetre of mercury; n, number; i.q.r, inter-quartile range; SD, standard deviation

<b>Variable</b>	<b>Number of missing (n)</b>	<b>Percentage missing (%)</b>
Gender	415	0.6
Age	101	0.1
GCS	2257	3.3
Respiratory rate	4826	7.0
Heart rate	1625	2.4
Oxygen saturation	2745	4.0
Systolic blood pressure	2854	4.1
Diastolic blood pressure	2962	4.3
Destination hospital	443	0.7

**Table 2:** Missing data for continuous and categorical variables

		Major trauma			
		Yes (ISS>15)	No (ISS<15)	Total	
Triaged to MTC	Yes	435 (TP)	34257 (FP)	34692	Sensitivity (0.83, 95% CI 0.80 – 0.86)
	No	89 (FN)	34018 (TN)	34107	Specificity (0.50, 95% CI 0.46 – 0.54)
	Total	524	68275	68799	

**Table 3:** 2X2 contingency table showing how the Dutch prediction model performed in identifying major trauma patients and triaging them to Major Trauma Centre (MTC) and identifying non-major trauma patients and triaging them to a Trauma Unit (TU). Sensitivity and specificity are provided with 95% CI.

TP, true positive; FN, false negative; FP, false positive; TN, true negative



		Major trauma			
		Yes (ISS>15)	No (ISS<15)	Total	
Triaged to MTC	Yes	230 (TP)	11005 (FP)	11235	Sensitivity (0.44, 95% CI 0.40 – 0.48)
	No	294 (FN)	56827 (TN)	57121	Specificity (0.84, 95% CI 0.81 – 0.87)
	Total	524	67832	68356	

**Table 4:** 2X2 contingency table showing existing triage methods in the South West and whether major trauma patients were triaged correctly to MTC or incorrectly to a TU and whether non-major trauma patient was correctly triaged to a TU or incorrectly to a MTC based on ISS score. Sensitivity and specificity are provided with 95% CI. There is missing data for destination hospital.

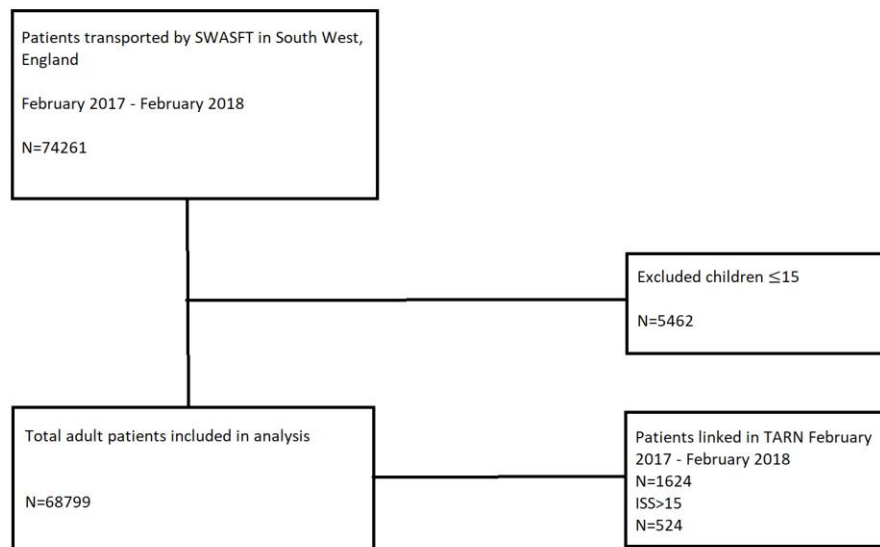
TP, true positive; FN, false negative; FP, false positive; TN, true negative

<b>Model performance</b>	<b>Value</b>	<b>Recalibrated model performance</b>	<b>Value</b>
C-Statistic	0.75 (95% CI: 0.73 – 0.78)	C-Statistic	0.75 (95% CI: 0.73 – 0.78)
Calibration in the large (CITL)	-1.02	Calibration in the large (CITL)	0.0
Calibration slope <sup>1</sup>	0.81	Calibration slope	0.81
Expected vs. observed patients with ISS>15 stratified by decile (E:O)	2.5	Expected vs. observed (E:O)	1.0

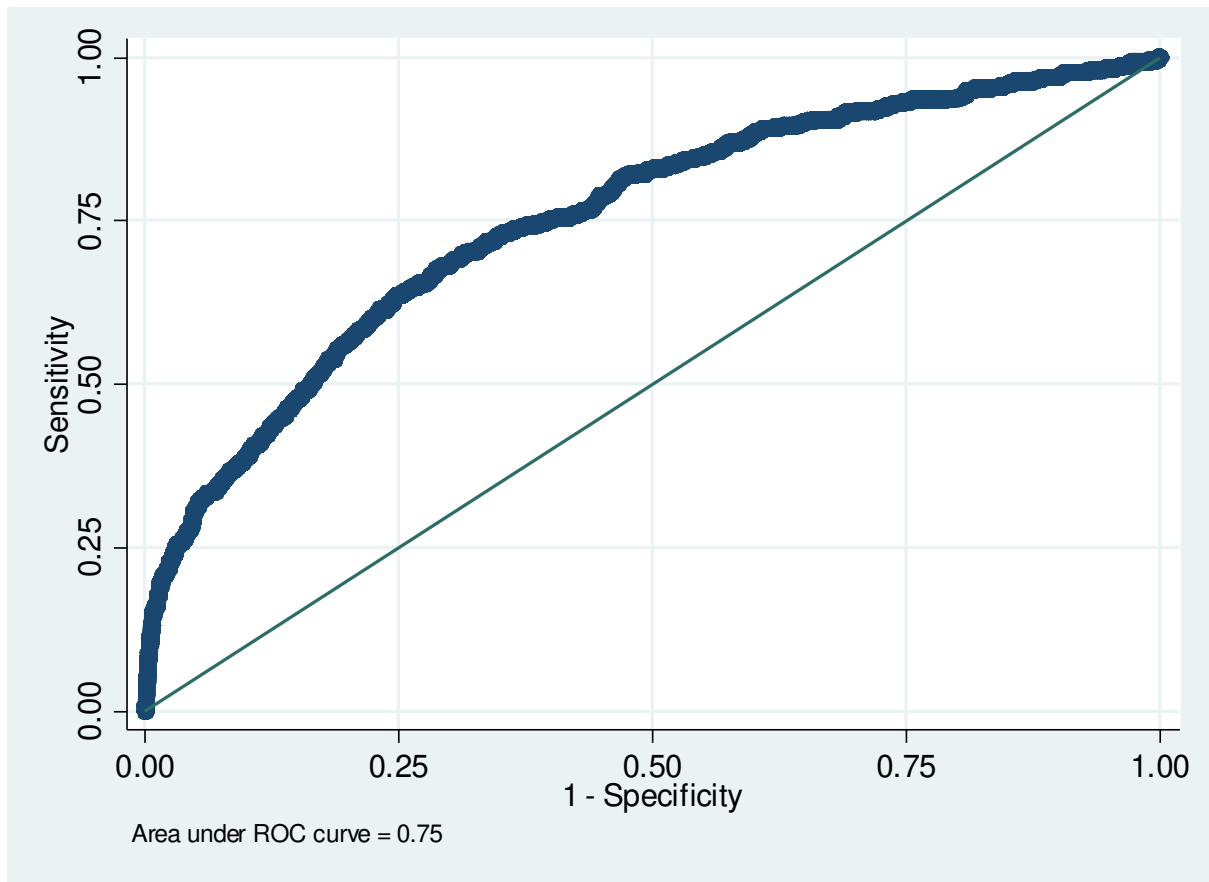
**Table 5:** Dutch model performance in external validation cohort before and after recalibration.

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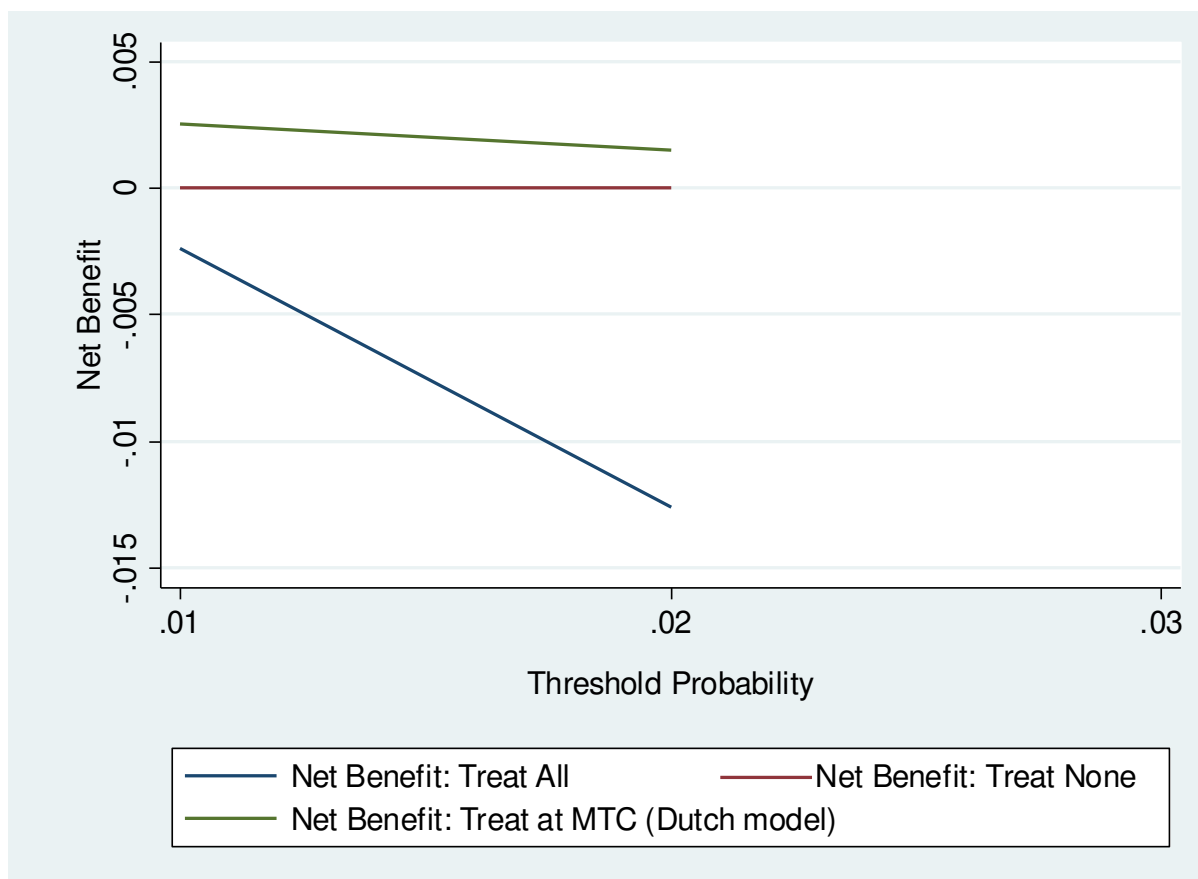
<sup>1</sup> Calibration slope of logistic regression model with Dutch linear prediction model as the sole predictor using data from our cohort



**Figure 1:** STROBE flow diagram of included and excluded patients.



**Figure 2:** ROC curve for the Dutch prediction model



**Figure 3:** Net benefit curves for the Dutch prediction model for identifying patients with major trauma.



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**Supplementary Materials**

Supplemental materials external validation 11 Jan  
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